

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b)

Attorney Docket No.: 2001_1248A

First Named Inventor : Teruaki SEKINE et al.

METHOD AND ACTIVATED LYMPHOCYTE PREPARATIONS FOR PREVENTING RECURRENCE OF CARCINOMA

Express Mail Label No.:

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. [X] Fee Transmittal Form (Submit an original, and a duplicate for fee processing)

- 2. [X] Small Entity Status is hereby asserted.
- 3. [X] Specification

[Total Pages: 13]

(preferred arrangement set forth below)

- Descriptive title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Sequence Listing, a table, or a computer program listing appendix.
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

[Total Sheets:]

[X] Oath or Declaration

- [Total Pages : 2]
- a.1. [] Newly executed (original or copy)
- a.2. [X] Unexecuted

[] Drawing(s) (35 USC 113)

- [] Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 18 completed)
 - i. [] DELETION OF INVENTOR(S)

Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

[] Application Data Sheet (see 37 CFR 1.76)

Assistant Commissioner for Patents ADDRESS TO: **Box Patent Application**

Washington, D.C. 20231

7. [] CD-ROM or CD-R in duplicate, large table or computer O program (Appendix)

- 8. [] Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. [] Computer Readable Form
 - b. Specification Sequence Listing on:
 - i. [] CD-ROM or CD-R (2 copies); or
 - ii. [] Paper
 - c. [] Statement verifying

identity of above copies

ACCOMPANYING APPLICATION PARTS

- Assignment Papers (cover sheet & document(s))
- 37 CFR 3.73(b) Statement (when there is an assignee) 10. []
 - Il Power of Attorney
- English Translation Document (if applicable) 11. []
- 12. [] Information Disclosure Statement (IDS)/PTO-1449 [] Copies of IDS Citations
- 13. [] **Preliminary Amendment**
- 14. [X] Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
- Certified Copy of Priority Document(s) 15. [] (if foreign priority is claimed)
- 16. [] Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
- 17. [X] Other Cover Letter

[8. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below, and in a preliminary amendment, or

[] Continuation

[] Divisional

[] Continuation-in-part (CIP)

of prior application No.

Prior Application Information: Examiner

Group Art Unit

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference therein. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS



PATENT TRADEMARK OFFICE

Warren M. Cheek, Jr

Registration No. 33.36

WENDEROTH, LIND & PONACK, L.L.P. 2033 "K" Street, N.W., Suite 800 Washington, D.C. 20006-1021 Phone:(202) 721-8200 Fax: (202) 721-8250

September 4, 2001



In re application of

Teruaki SEKINE et al.

Serial No. NEW

Attn: APPLICATION BRANCH

Filed September 4, 2001

Attorney Docket No. 2001_1248A

METHOD AND ACTIVATED LYMPHOCYTE PREPARATIONS FOR PREVENTING RECURRENCE OF CARCINOMA

THE COMMISSIONER IS AUTHORIZED TO CHARGE ANY DEFICIENCY IN THE FEE FOR THIS PAPER TO DEPOSIT ACCOUNT NO. 23-0075

PATENT OFFICE FEE TRANSMITTAL FORM

Assistant Commissioner for Patents, Washington, DC 20231

Sir:

Attached hereto is a check in the amount of \$355.00 to cover Patent Office fees relating to filing the following attached papers:

Independent\$Multiple Dependent Fee\$

A duplicate copy of this paper is being submitted for use in the Accounting Division, Office of Finance.

The Commissioner is authorized to charge any deficiency or to credit any overpayment associated with this communication to Deposit Account No. 23-0975, with the EXCEPTION of deficiencies in fees for multiple dependent claims in new applications.

Respectfully submitted,

Teruaki SEKINE et al.

 $_{\prime}$ ω

Warren M. Cheek, Jr. Registration No. 33,367

Attorney for Applicants

WMC/dlk WENDEROTH, LIND & PONACK, L.L.P. 2033 K St., N.W., Suite 800 Washington, D.C. 20006-1021 Telephone (202) 721-8200 September 4, 2001

[Check No. 46271

2001_1248A

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Teruaki SEKINE et al.

Attn: APPLICATION BRANCH

Serial No. NEW

Docket No. 2001_1248A

Filed September 4, 2001

METHOD AND ACTIVATED LYMPHOCYTE PREPARATIONS FOR PREVENTING RECURRENCE OF CARCINOMA

THE COMMISSIONER IS AUTHORIZED TO CHARGE ANY DEFICIENCY ON THE FEE FOR THIS PAFER TO BEFORE ACCOUNT NO. 23-6075.

COVER LETTER ACCOMPANYING APPLICATION FILED WITHOUT EXECUTED DECLARATION UNDER 37 CFR 1.53(b) AND IN A LANGUAGE OTHER THAN ENGLISH UNDER 37 CFR 1.52(d)

Assistant Commissioner for Patents Washington, DC 20231

Sir:

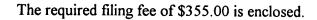
Enclosed is a new patent application entitled "METHOD AND ACTIVATED LYMPHOCYTE PREPARATIONS FOR PREVENTING RECURRENCE OF CARCINOMA". This application is submitted in the Japanese language under the provisions of 37 CFR 1.52(d).

Furthermore, the present application is submitted under the provisions of 37 CFR 1.53(b), and the application as filed does not include an executed declaration. However, accompanying the application is an unexecuted declaration listing the inventor information.

The application as filed further does not include an executed power of attorney, and accordingly, it is requested that communication initially be directed to the following firm, until an executed power of attorney and declaration are filed:

WENDEROTH, LIND & PONACK, L.L.P. 2033 K Street, N.W., Suite 800 Washington, D.C. 20006-1021

Telephone: (202) 721-8200 Facsimile: (202) 721-8250



Respectfully submitted,

Teruaki SEKINE et al.

Bv

Warren M. Cheek, Jr. Cheek, Jr. Registration No. 33,367
Attorney for Applicants

WMC/dlk Washington, D.C. 20006-1021 Telephone (202) 721-8200 Facsimile (202) 721-8250 September 4, 2001